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1 **Acclimation of leaf respiration consistent with optimal photosynthetic capacity**

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30

31 **Running Title** (A short running title of less than 45 characters including spaces)

32 optimal acclimation of leaf dark respiration

33 **Abstract**

34 Plant respiration is an important contributor to the proposed positive global carbon-
35 cycle feedback to climate change. However, as a major component, leaf mitochondrial
36 ('dark') respiration (R_d) differs among species adapted to contrasting environments and
37 is known to acclimate to sustained changes in temperature. No accepted theory explains
38 these phenomena or predicts their magnitude. Here we propose that the acclimation of
39 R_d follows an optimal behaviour related to the need to maintain long-term average
40 photosynthetic capacity (V_{cmax}) so that available environmental resources can be most
41 efficiently used for photosynthesis. To test this hypothesis, we extend photosynthetic
42 co-ordination theory to predict the acclimation of R_d to growth temperature via a link
43 to V_{cmax} , and compare predictions to a global set of measurements from 112 sites
44 spanning all terrestrial biomes. This extended co-ordination theory predicts that field-
45 measured R_d should increase by 3.7% and V_{cmax} by 5.5% per degree increase in growth
46 temperature. These acclimated responses to growth temperature are less steep than the
47 corresponding instantaneous responses, which increase 8.1% and 9.9% per degree of
48 measurement temperature for R_d and V_{cmax} , respectively. Data-fitted regression slopes
49 proved indistinguishable from the values predicted by our theory, and smaller than the
50 instantaneous slopes. Theory and data are also shown to agree that the basal rates of
51 both R_d and V_{cmax} assessed at 25°C decline by ~ 4.4% per degree increase in growth
52 temperature. These results provide a parsimonious general theory for R_d acclimation to
53 temperature that is simpler – and potentially more reliable – than the plant functional
54 type-based leaf respiration schemes currently employed in most ecosystem and land-
55 surface models.

56 **Keywords (6-10)**

57 Leaf respiration, optimality, carboxylation capacity (V_{cmax}), acclimation, land-surface
58 model

59

60 **Introduction**

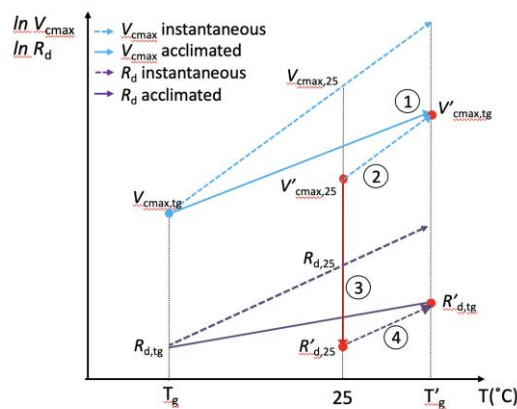
61 Land plant respiration is a major component of the carbon cycle, releasing *ca.* 60 Pg C
62 yr⁻¹ to the atmosphere: six times more than anthropogenic CO₂ emissions from all
63 sources combined ([Ciais et al., 2014](#)). About half is due to mitochondrial respiration in
64 leaves ([Atkin, Scheurwater, & Pons, 2007](#)), which is usually called ‘dark’ respiration
65 (R_d) since it is most easily measured in darkened leaves; mitochondrial respiration
66 continues in the light, although at a reduced rate ([Tcherkez et al., 2017](#)). Leaf respiration
67 is closely coupled with photosynthetic activity ([Hoefnagel, Atkin, & Wiskich, 1998](#);
68 [Noguchi & Yoshida, 2008](#); [O’Leary, Asao, Millar, & Atkin, 2019](#); [Tcherkez, 2012](#);
69 [Wright et al., 2004](#)). As described by the standard biochemical model of photosynthesis
70 ([Farquhar, von Caemmerer, & Berry, 1980](#)), the instantaneous rate of photosynthesis
71 by C₃ plants is limited either by the capacity of the enzyme Ribulose-1,5-bisphosphate
72 (RuBP) carboxylase/oxygenase (Rubisco) for the carboxylation of RuBP (V_{cmax}), or by
73 the rate of electron transport for the regeneration of RuBP, which depends on absorbed
74 light and the electron transport capacity (J_{max}). R_d of fully developed leaves is used to
75 support metabolic processes including protein turnover, phloem loading, the
76 maintenance of ion gradients between cellular compartments, nitrate reduction, and the
77 turnover of phospholipid membranes. Among these, protein turnover is the largest
78 contributor to variation in R_d . Given that Rubisco is a substantial fraction of total leaf
79 protein, R_d is expected to scale closely with V_{cmax} , which sets the daily maximum
80 photosynthetic rate achieved by leaves under natural growing conditions ([Amthor, 2000](#);
81 [Atkin, Millar, Gardeström, & Day, 2000](#); [Bouma, 2005](#); [Cannell & Thornley, 2000](#);
82 [O’Leary et al., 2019](#)). R_d is commonly assumed in Land Surface Models (LSMs) to be
83 proportional either to V_{cmax} or, alternatively, to area-based leaf nitrogen content (N_{area})
84 ([Rogers, 2014](#)).

85 Leaf respiration is enzyme-catalysed and therefore temperature-dependent. On a time
86 scale of minutes to hours, R_d responds to leaf temperature near-exponentially and is
87 determined principally by the temperature dependence of the reaction rates of multiple
88 enzymes involved in various respiratory pathways in the cytosol and mitochondria
89 ([Atkin, Bruhn, & Tjoelker, 2005](#); [Atkin & Tjoelker, 2003](#)). We refer to this observed,
90 composite temperature response as the “instantaneous” response. Because of this
91 temperature response of R_d , it has been proposed that global warming will increase

92 plant respiration and accelerate climate change ([Cox, Betts, Jones, Spall, & Totterdell,](#)
93 [2000](#); [Huntingford et al., 2013](#)). However, the magnitude of this positive feedback
94 remains unclear. It depends on the sensitivity of plant respiration to temperature
95 changes over longer time scales, which – as many experiments have shown – is damped,
96 relative to the short-term response, by acclimation ([Atkin & Tjoelker, 2003](#); [Reich et](#)
97 [al., 2016](#); [Scafaro et al., 2017](#)). The longer-term response of plant respiration to the
98 prevailing growth temperature is also manifest in spatial patterns of leaf R_d ([Atkin et](#)
99 [al., 2015](#); [Slot & Kitajima, 2015](#)), which show a far less steep pole-to-equator gradient
100 than would be expected from the instantaneous response – a consequence of both
101 acclimation (plastic responses) and adaptation, i.e. differences among genotypes and
102 species adapted to contrasting environments. [Vanderwel et al. \(2015\)](#) moreover
103 demonstrated consistency between the observed spatial pattern of R_d and the
104 acclimation of leaf R_d over time. Pervasive long-term acclimation of respiration implies
105 a weaker positive carbon-climate feedback than is implied by the instantaneous
106 temperature response ([Huntingford et al., 2017](#); [Reich et al., 2016](#); [Smith, Malyshev,](#)
107 [Shevliakova, Kattge, & Dukes, 2016](#)). Neglecting the acclimation of plant respiration
108 to temperature in LSMs may therefore be a major source of bias in Earth System model
109 predictions ([Huntingford et al., 2017](#); [Smith et al., 2016](#)).

110 Quantitative explanations and predictions of the acclimation and adaptation of leaf R_d
111 to temperature are still lacking. Conclusions from empirical studies alone ([Wright et al.,](#)
112 [2006](#)) are insufficient to address the underlying causality; a firmer theoretical basis is
113 essential to build confidence in carbon-cycle predictions ([Prentice, Liang, Medlyn, &](#)
114 [Wang, 2015](#)). Here we propose a theoretical framework for the acclimation of leaf R_d
115 based on a few key hypotheses. We first assume acclimation of V_{cmax} (Step 1 in Fig. 1)
116 via the ‘co-ordination hypothesis’, which states that V_{cmax} assessed at growth
117 temperature ($V_{\text{cmax,tg}}$) has a general tendency to adjust to average daytime conditions so
118 that the electron transport- and Rubisco-limited photosynthetic rates (A_J , A_c) are co-
119 limiting ([Chen, Reynolds, Harley, & Tenhunen, 1993](#); [Haxeltine & Prentice, 1996](#);
120 [Maire et al., 2012](#)) (that is, $A_J \approx A_c$). Co-limitation is optimal in an eco-evolutionary
121 sense because any other outcome would either incompletely exploit available light, or
122 require additional respiration to maintain excess amounts of Rubisco. This hypothesis
123 explained 64% of field-measured $V_{\text{cmax,tg}}$ variability in C_3 plants across different biomes,
124 and has been used with success to predict global patterns of primary production ([Smith,](#)

125 [Keenan, Prentice, Wang, Wright, Niinemets, Crous, Domingues, Guerrieri, Yoko](#)
 126 [Ishida, et al., 2019; Wang et al., 2017](#)). Second, the various metabolic functions of R_d
 127 in mature leaves are assumed to be tightly coupled to V_{cmax} (Step 3 in Fig. 1) – implying
 128 a close link between the acclimation of V_{cmax} and R_d . We test this hypothesis later,
 129 alongside the alternative hypothesis that R_d depends on N_{area} . To simplify the theoretical
 130 framework and mathematical derivations, we (a) disregard any possible differences in
 131 the instantaneous thermal responses of R_d and V_{cmax} among species and across sites
 132 (Steps 2 and 4 in Fig 1), and (b) assume infinite mesophyll conductance and non-
 133 limiting electron-transport capacity ([Keenan et al., 2016; Togashi et al., 2018; Wang,](#)
 134 [Prentice, & Davis, 2014](#)). Although uncertainties are thereby inevitably introduced,
 135 these simplifications allow us to test first-order effects at a global scale, appropriately
 136 for the potential improvement of LSMs.



137

138 **Figure 1: Schematic of the thermal sensitivities of V_{cmax} and leaf R_d .** Step 1: The “co-
 139 ordination hypothesis” predicts a positive response of V_{cmax} (β_{aV}) to growth temperature. Due
 140 to increasing Rubisco oxygenation relative to carboxylation, a higher V_{cmax} is required to
 141 achieve the optimal photosynthetic rate at higher temperatures. Step 2: When temperature
 142 increases, the value of V_{cmax} achieved through the instantaneous response of Rubisco (β_{iV}) is
 143 super-optimal. Consequently, the amount of Rubisco (indexed by V_{cmax} at the standard reference
 144 temperature of 25°C) must be “down-regulated” from $V_{\text{cmax},25}$ to $V'_{\text{cmax},25}$. Step 3: We
 145 hypothesize that respiratory and photosynthetic capacities are linked such that $\beta_{\text{qV}} = \beta_{\text{qR}}$. Leaf
 146 $R'_{d,25}$ at growth temperature is then a fraction of $V'_{\text{cmax},25}$. Step 4: Just as for V_{cmax} , leaf $R'_{d,25}$ at
 147 the new growth temperature is lower than $R_{d,25}$ at the original growth temperature, implying an
 148 acclimated/adapted thermal response (β_{aR}) that is less steep than the instantaneous response
 149 (β_{iR}).

150 **Materials and methods**

151 *Quantitative predictions*

152 Based on the simplifying assumption that leaf R_d adjusts over time primarily to maintain
153 the turnover of Rubisco and other enzymes involved in the Calvin cycle, we start from
154 the premise that at the prevailing growth temperature (T_g), the acclimated $R_{d,tg}$ is
155 proportional to acclimated $V_{cmax,tg}$:

156
$$R_{d,tg} = b_{tg} V_{cmax,tg} \quad (1)$$

157 while recognizing that the proportionality factor b_{tg} could vary with environmental
158 conditions. We therefore first focus on quantitative prediction of the optimal thermal
159 acclimation of $V_{cmax,tg}$.

160 *Step 1: optimal $V_{cmax,tg}$ and its thermal acclimation based on the coordination*
161 *hypothesis*

162 We hypothesize that V_{cmax} of leaves at any canopy level acclimates to the current
163 environment in such a way that the Rubisco-limited (increasing with V_{cmax}) and electron
164 transport-limited (increasing with absorbed PPFD) photosynthetic rates tend to
165 converge. This is the ‘strong form’ of the coordination hypothesis ([Chen et al., 1993](#);
166 [Haxeltine & Prentice, 1996](#); [Maire et al., 2012](#)), contrasting with a ‘weak form’ that
167 assumes that the total metabolic N content of the leaf is prescribed so that only the
168 allocation of N to carboxylation versus electron transport capacities is optimized. In
169 response to environmental variations, the coordination hypothesis predicts vertical
170 variation of $V_{cmax,tg}$ within the canopy, geographic variation among sites, and temporal
171 variations with atmospheric CO₂ concentration and climate ([Haxeltine & Prentice, 1996](#);
172 [Smith, Keenan, Prentice, Wang, Wright, Niinemets, Crous, Domingues, Guerrieri,](#)
173 [Ishida, et al., 2019](#); [Terrer et al., 2018](#)). Thus, under field conditions the coordination
174 hypothesis predicts that:

175
$$V_{cmax,tg} \approx \varphi_0 I_{abs} (\chi c_a + K) / (\chi c_a + 2I^*) \quad (2)$$

176 where φ_0 is the intrinsic quantum efficiency of photosynthesis (mol mol⁻¹); I_{abs} is the
177 PPFD absorbed by the leaf (μmol m⁻² s⁻¹); χ is the ratio of leaf-internal to ambient
178 partial pressure of CO₂ (Pa Pa⁻¹); c_a is the ambient partial pressure of CO₂ (Pa); I^* is

179 the photorespiratory compensation point (Pa); and K is the effective Michaelis-Menten
 180 coefficient of Rubisco (Pa). Γ^* and K are temperature-dependent following Arrhenius
 181 relationships as measured e.g. by Bernacchi et al. (2001). Acknowledging that Rubisco
 182 kinetics traits vary both within and among species, we applied various Rubisco catalytic
 183 constants (the Michaelis–Menten coefficients for carboxylation and oxygenation, and
 184 the Rubisco specificity factor) provided by (Galmés, Hermida-Carrera, Laanisto, &
 185 Niinemets, 2016) to estimate the uncertainties (± 1 s.d.) in K , Γ^* and their instantaneous
 186 thermal responses.

187 The least-cost hypothesis (Prentice, Dong, Gleason, Maire, & Wright, 2014; Wang et
 188 al., 2017) predicts optimal χ to be a function of growing-season mean values of
 189 temperature (T_g ; K), vapour pressure deficit (D ; Pa) and elevation (z ; m). These
 190 predictions are quantitatively supported by worldwide measurements of χ across
 191 species and biomes (Wang et al., 2017). Equation (2) then yields estimates of V_{cmax}
 192 given χ and field-relevant average values of c_a (Pa), temperature (K) and PPFd (μmol
 193 $\text{m}^{-2} \text{s}^{-1}$).

194 We define temperature sensitivities (β) of various quantities as fractional increases per
 195 degree. The fractional sensitivity of $V_{\text{cmax,tg}}$ to temperature after acclimation (β_{av}) can
 196 be deduced by differentiating equation (2) with respect to T_g :

$$197 \quad \beta_{\text{av}} = (\partial V_{\text{cmax,tg}} / \partial T_g) / V_{\text{cmax,tg}} = \partial \ln V_{\text{cmax,tg}} / \partial T_g$$

$$198 \quad = \partial \ln (\chi c_a + K) / \partial T_g - \partial \ln (\chi c_a + 2\Gamma^*) / \partial T_g \quad (3)$$

199 Evaluating equation (3) under standard conditions ($T_g = 298$ K, $D = 1$ kPa, $z = 0$, $c_a =$
 200 40 Pa) yields $\beta_{\text{av}} = 5.5 \pm 0.3$ % K^{-1} . This value derives primarily from the sensitivities
 201 of K and Γ^* to temperature (8.5% K^{-1} and 5.4% K^{-1} , respectively), which depend on
 202 their activation energies (Bernacchi et al., 2001), and to a lesser extent from the
 203 sensitivity of χ to temperature (0.9% K^{-1}).

204 *Step 2: optimal $V_{\text{cmax},25}$ and its thermal acclimation*

205 Described by a modified Arrhenius function (Kattge & Knorr, 2007), the instantaneous
 206 temperature response of V_{cmax} to temperature provides a link between $V_{\text{cmax,tg}}$ and
 207 $V_{\text{cmax},25}$:

208 $V_{\text{cmax,tg}} = V_{\text{cmax,25}} \times f_v$

209 where

210 $f_v = e^{H_a(T_g - 298.15) / 298.15 T_g R} \times [1 + e^{(298.15 \Delta S - H_d) / (298.15 R)}] / [1 + e^{(T_g \Delta S - H_d) / (T_g R)}]$ (4)

211 where H_a is the activation energy (71 513 J mol⁻¹), R is the universal gas constant (8.314
 212 J mol⁻¹ K⁻¹), $T_{\text{ref}} = 298.15$ K, H_d is the deactivation energy (200 000 J mol⁻¹), and ΔS
 213 is an entropy term (J mol⁻¹ K⁻¹), which can be calculated using a linear relationship
 214 with T_g from ([Kattge & Knorr, 2007](#)) with a slope of 1.07 J mol⁻¹ K⁻² and an intercept
 215 of 668.39 J mol⁻¹ K⁻¹.

216 To estimate the uncertainties (± 1 s.d.) in Rubisco kinetics, We applied various
 217 maximum carboxylase turnover rate provided by [Galmés, Kapralov, Copolovici,](#)
 218 [Hermida-Carrera, and Niinemets \(2015\)](#). Equation (4) then generates an instantaneous
 219 response of V_{cmax} to temperature with a high sensitivity β_{iV} of 9.9 ± 1.4 % K⁻¹, and
 220 allows β_{qV} to be derived as:

221 $\beta_{qV} = \partial \ln V_{\text{cmax,25}} / \partial T_g$
 222 $= \partial \ln V_{\text{cmax,tg}} / \partial T_g - \partial \ln f_v / \partial T_g$
 223 $= \beta_{aV} - \beta_{iV}$
 224 $= -4.4 \pm 1.4$ % K⁻¹ (5)

225 We can thus break down the acclimated temperature sensitivity of $V_{\text{cmax,tg}}$ (β_{aV}) into the
 226 instantaneous sensitivity of Rubisco to temperature changes (β_{iV}) and the acclimated
 227 sensitivity (β_{qV}) of the amount of Rubisco (as indexed by $V_{\text{cmax,25}}$, the catalytic activity
 228 of Rubisco at 25°C) to growth temperature.

229 *Step 3: optimal $R_{d,25}$ and its thermal acclimation based on the link to $V_{\text{cmax,25}}$*

230 In commonly used photosynthesis models, leaf $R_{d,25}$ is assumed proportional to $V_{\text{cmax,25}}$
 231 with the ratio given as 0.011 ([Farquhar et al., 1980](#)) or 0.015 ([Collatz, Ball, Grivet, &](#)
 232 [Berry, 1991](#)). This assumption implies that $R_{d,25}$ is related to $V_{\text{cmax,25}}$ by a constant factor
 233 b_{25} :

234 $R_{d,25} = b_{25} V_{\text{cmax,25}}$ (6)

235 and thus also that $\beta_{qV} = \beta_{qR}$ (Figure 1).

236 We test this key assumption in parallel empirical analyses. The effects of other potential
237 influences, including leaf mass per area, leaf nitrogen content and soil properties, on
238 $R_{d,25}$ are also tested.

239 *Step 4: optimal $R_{d,gt}$ and its thermal acclimation*

240 [Heskel et al. \(2016\)](#) provided an empirical formula to estimate R_d at 25°C:

$$241 \ln R_{d,25} = a + 0.1012 \times 25 - 0.0005 \times 25^2 \quad (7)$$

242 where a is an empirical constant varying among biomes, representing the natural
243 logarithm of the value of R_d extrapolated to 0°C. The instantaneous response of R_d to
244 temperature (β_{iR}) as given by [Heskel et al. \(2016\)](#) is 8.1% K⁻¹ at the mean T_g of the
245 data. β_{iR} is slightly smaller than β_{iV} , and leads to a response of parameter b_{tg} in equation
246 (1) given by the difference between β_{iR} and β_{iV} ($\beta_b = -1.8\% \text{ K}^{-1}$). This then generates
247 the predictions $\beta_{qR} = -4.4\% \text{ K}^{-1}$ and $\beta_{aR} = 3.7\% \text{ K}^{-1}$.

248 Using other instantaneous thermal response curves (for example, equations 1 and 3 in
249 [Atkin et al. \(2015\)](#), equation 1 in [Reich et al., 2016](#)), and equation 1 in [Kattge and
250 Knorr \(2007\)](#)) yielded slightly different instantaneous responses of R_d and V_{cmax} to
251 temperature. However, those changes also effect temperature adjustment we applied in
252 the parallel empirical analysis, and have little influence on our testing. We therefore
253 report only the results from the equations as described above.

254 ***Empirical analyses***

255 *Photosynthesis and respiration data*

256 We combined two R_d datasets: the global respiration (GlobResp) and leaf carbon
257 exchange (LCE) datasets. GlobResp ([Atkin et al., 2015](#)) contains measurements of leaf
258 R_d , V_{cmax} , N_{area} and leaf mass per area (LMA) from 899 species at 100 locations across
259 the major biomes and continents, including data from an earlier compilation by [Wright
260 et al. \(2004\)](#). LCE ([Smith & Dukes, 2017a](#)) contains field measurements of leaf carbon
261 exchange and chemical traits from 98 species at 12 locations spanning 53° latitude in
262 North and Central America (Fig. S1). Replicated measurements in LCE on the same
263 species and site were averaged. Juvenile samples were excluded. Leaf R_d measurements
264 in both datasets followed the same protocol. Both were taken on fully expanded leaves

265 in daytime after a period of dark adjustment. V_{cmax} values in GlobResp were estimated
266 by the ‘one-point method’ ([De Kauwe et al., 2016](#)) whereas those in LCE were
267 estimated from full $A-c_i$ curves. With a global dataset of $A-c_i$ curves (564 species from
268 46 field sites, covering a range of plant functional types), De Kauwe et al. (2016)
269 showed that ‘the one-point method’ can provide a robust approach to expand the
270 available set of field measurements on V_{cmax} . We present analyses based on the
271 combined datasets as the main results in this paper. However, given that [Burnett,](#)
272 [Davidson, Serbin, and Rogers \(2019\)](#) recently showed that the one-point method may
273 underestimate V_{cmax} , we also analysed each dataset separately. The results are given in
274 the Supplementary Information.

275 We indexed T_g by the mean temperature during the thermal growing season with
276 temperatures above 0°C (mGDD₀) ([Harrison et al., 2010](#)). V_{cmax} and R_d values in both
277 datasets were provided with information about measurement leaf temperatures. V_{cmax}
278 and R_d values were adjusted both to mGDD₀ and to 25°C using the relevant
279 instantaneous responses, as given in Heskell et al. (2016) and Kattge and Knorr (2007),
280 respectively.

281 A global climatology of monthly temperature provided by the Climatic Research Unit
282 at a grid resolution of 10 arc minutes (CRU CL2.0) ([New, Hulme, & Jones, 2000](#)) was
283 used to provide estimates of mGDD₀ for each location. Thermal acclimation of R_d
284 should in principle apply to both C_3 and C_4 plants, but our theoretical prediction of V_{cmax}
285 acclimation here is developed for C_3 plants, and we did not include C_4 species in our
286 analysis.

287 *Statistical analysis*

288 The theoretical framework proposed here includes a series of quantitative predictions.
289 Statistical analysis focused on testing the agreement between these theoretical
290 predictions and data. To test our predictions of β_a and β_q quantitatively, the R_d and V_{cmax}
291 data (assessed at mGDD₀ and 25°C) were first normalized with estimates of the site-
292 mean PPFD absorbed by leaves (PPFD_L: see Dong et al. ([2017](#)) before performing
293 Ordinary Least Squares (OLS) regression against growth temperature. This
294 normalization is appropriate because V_{cmax} is both predicted (equation 2) and observed
295 ([Niinemets & Keenan, 2012](#)) to vary in proportion to PPFD. If it were omitted, the

296 positive effect of PPFD on R_d and V_{cmax} would contribute to the fitted slope of mGDD_0
297 due to the correlation between those two variables (Fig. S2).

298 PPFD_L was devised to deal with the fact that field-measured photosynthetic trait data
299 reflect leaves developed at a range of irradiances at different levels in the canopy.
300 PPFD_L is estimated from growing-season total incident PPFD at the top of the canopy
301 (PPFD_0) as follows:

$$302 \quad \text{PPFD}_L \approx f \text{PPFD}_0 / L \quad (8)$$

303 where f is the fraction of incident PPFD absorbed by the canopy (obtained from
304 SeaWiFS data ([Gobron et al., 2006](#))) and L is the leaf area index estimated from Beer's
305 law:

$$306 \quad L \approx - (1/k) \ln (1 - f) \quad (9)$$

307 with $k = 0.5$ ([Dong, Prentice, Evans, et al., 2017](#)). PPFD_0 was calculated from CRU
308 CL2.0 data using the SPLASH model ([Davis et al., 2017](#)).

309 We applied OLS linear regression of normalized and natural log-transformed R_d and
310 V_{cmax} values against mGDD_0 using all-species and site-mean data, respectively. To
311 check the impact of the PPFD normalization, we also performed regressions without it.
312 We additionally applied mixed-effects models with species or sites contributing
313 random effects. To test the uncertainty introduced by applying a single set of
314 instantaneous responses, whereas different kinetics responses might arise among
315 species and sites, we conducted a further OLS regression by using a subset of the dataset
316 when R_d and V_{cmax} were measured at a leaf temperature that differs from 25°C or growth
317 temperature less than 1°C .

318 To test the key assumption that $R_{d,25}$ is mainly determined by $V_{\text{cmax},25}$, we applied OLS
319 linear regression of R_d versus V_{cmax} (standardized to 25°C and separately to mGDD_0 ,
320 without transformation) to estimate b_{25} and b directly from the fitted slopes. We also
321 included LMA and soil pH as additional predictors in the regression described above.
322 LMA carries information on the structural component of plant leaves. Broadly speaking,
323 higher soil pH and cation exchange capability indicate higher soil fertility ([Jenny, 1994](#);
324 [Sinsabaugh & Follstad Shah, 2012](#)), and pH has been shown to influence χ ([Wang et](#)

325 [al., 2017](#)). These covariates were selected to test any potential influences of leaf
 326 structure and soil nutrient availability on $R_{d,25}$. An estimate of soil pH for each location
 327 was extracted from the Harmonized World Soil Database

328 (<http://www.iiasa.ac.at/web/home/research/researchPrograms/water/HWSD.html>).

329 Relationships of N_{area} with V_{cmax} and R_d were also tested by OLS linear regression with
 330 or without LMA as an additional predictor. All regressions were performed in R
 331 (version 3.5.1).

332 Results

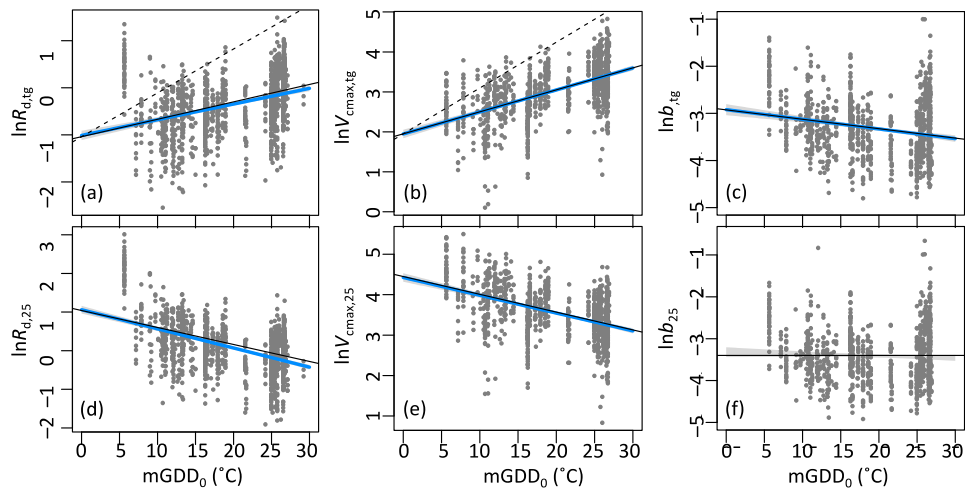
333 *Testing the theoretical implications: thermal acclimation of R_d , V_{cmax} and their ratio*

334 The predicted relationship of $V_{cmax,tg}$ to growth temperature (β_{aV}) was $5.5\% K^{-1}$ under
 335 standard environmental conditions. The clear difference between the instantaneous
 336 sensitivities of leaf R_d and V_{cmax} to temperature implies that the sensitivity of acclimated
 337 $R_{d,tg}$ to temperature (β_{aR}) is 1.8% lower than that of $V_{cmax,tg}$ (β_{aV}), implying a theoretical
 338 optimum rate of increase of $R_{d,tg}$ by $3.7\% K^{-1}$. Despite the various simplifications we
 339 made, and large variation among species at any given site, these theoretical values are
 340 very close to the data-fitted values – whether obtained from all-species or site-mean
 341 analyses. They are however shallower than the 9.9% and $8.1\% K^{-1}$ predicted for the
 342 instantaneous responses of V_{cmax} and R_d (Table 1, Fig. 2). In the all-species analysis, in
 343 spite of the large within-site spread, our theoretical predictions are indistinguishable
 344 from the fitted regression coefficients of normalized and transformed $V_{cmax,tg}$ and $R_{d,tg}$
 345 against $mGDD_0$ ($5.2 \pm 0.3\% K^{-1}$, $R^2 = 0.48$ for $V_{cmax,tg}$, and $3.3 \pm 0.2\% K^{-1}$, $R^2 = 0.34$
 346 for $R_{d,tg}$) (Table 1, Fig. 2). Growth temperature alone explains 45% and 65% of
 347 observed the site-mean variation in $V_{cmax,tg}$ and $R_{d,tg}$ respectively (Table S1, Fig. S3).

Quantity	Theoretical value	Fitted coefficient	Confidence intervals		Intercept (mean \pm se)	R^2	df
			2.5%	97.5%			
$R_{d,tg}$	0.037	0.033	0.029	0.038	-9.335 ± 0.051	0.34	1245
$V_{cmax,tg}$	0.055	0.052	0.047	0.057	-6.255 ± 0.054	0.48	1009
$R_{d,tg}/V_{cmax,tg}$	-0.018	-0.017	-0.023	-0.011	-3.044 ± 0.061	0.03	1007
$R_{d,25}$	-0.044	-0.049	-0.054	-0.045	-7.261 ± 0.052	0.25	1245
$V_{cmax,25}$	-0.044	-0.042	-0.047	-0.036	-3.922 ± 0.054	0.25	1009
$R_{d,25}/V_{cmax,25}$	0	-0.007	-0.012	0.001	-3.302 ± 0.060	0.00	1007

348

349 **Table 1: Summary of Ordinary Least-Squares regressions for natural log-transformed**
 350 **leaf R_d and V_{cmax} , and their ratio as a function of growth temperature.** Both R_d and V_{cmax}
 351 have been converted to growth temperature ($R_{d,tg}$ and $V_{cmax,tg}$) and to 25°C ($R_{d,25}$ and $V_{cmax,25}$)
 352 from the measured leaf temperature, and normalized by site-mean leaf absorbed photosynthetic
 353 photon flux density. For comparison, the theoretical values of thermal sensitivities are shown
 354 together with the fitted coefficient and its confidence intervals. Non-significant coefficients are
 355 shown in grey.



356

357 **Figure 2: Natural log-transformed R_d , V_{cmax} and their ratio as a function of growth**
 358 **temperature.** Both R_d and V_{cmax} are standardized to growth temperature and to 25°C, and
 359 normalized by site-mean leaf absorbed photosynthetic photon flux density. Solid blue lines are
 360 the fitted lines from Ordinary Least Squares regressions. Solid black lines are theoretical
 361 predictions. Dashed lines represent instantaneous temperature responses, referenced to 0 °C.

362 Theoretically predicted values of the fractional sensitivities of acclimated $R_{d,25}$ (β_{aR25})
 363 and $V_{cmax,25}$ (β_{aV25}) to temperature are negative ($-4.4\% \text{ K}^{-1}$) and this is consistent with
 364 the observed negative responses of $R_{d,25}$ and $V_{cmax,25}$ to temperature seen in the data
 365 (Table 1). The observed negative response of $V_{cmax,25}$ to growth temperature is
 366 indistinguishable ($-4.2 \pm 0.3\% \text{ K}^{-1}$ for all-species, $-3.4 \pm 0.9\% \text{ K}^{-1}$ for site-mean) from
 367 our theoretical prediction, while the observed response of $R_{d,25}$ is marginally larger than
 368 our all-species prediction ($-4.9 \pm 0.3\% \text{ K}^{-1}$), but indistinguishable in the site-mean
 369 analysis ($-4.3 \pm 0.7\% \text{ K}^{-1}$).

370 Regressions performed without PPFD-normalization showed temperature responses
371 with the same signs (positive for V_{cmax} and R_d at growth temperature, negative at 25°C)
372 but slightly steeper (positive slopes) or shallower (negative slopes) than in the main
373 analyses (Table S2) – as expected due to the confounding of PPFD and temperature
374 effects (Fig. S2), which normalization removes. R^2 values were consistently greater in
375 the main analyses, by 6-7% for V_{cmax} and R_d at growth temperature and 11-17% for
376 V_{cmax} and R_d at 25°C.

377 Mixed-effects models show a similar acclimation pattern to the OLS regression models
378 (Table S3). When the random effect from either species or site effect is included
379 excluded, the predicted positive (but weaker than instantaneous) thermal responses of
380 $R_{d,\text{tg}}$ and $V_{\text{cmax,tg}}$ emerge from the data. The resulting negative thermal responses of $R_{d,25}$
381 and $V_{\text{cmax},25}$ are also supported by the data. The data-fitted thermal sensitivities after
382 acclimation show patterns in agreement with our theoretical predictions ($\beta_{\text{aR}} < \beta_{\text{aV}}$
383 whereas $\beta_{\text{aR}25} = \beta_{\text{aV}25}$), although the fitted sensitivities in these analyses are marginally
384 higher than the theoretical predictions (Table S3).

385 Using only a subset of the dataset with no temperature correction applied to the
386 measured R_d and V_{cmax} , we again show thermal acclimation of $R_{d,25}$ and $V_{\text{cmax},25}$
387 consistent with our prediction. Although the responses of $R_{d,\text{gt}}$ and $V_{\text{cmax,gt}}$ to growth
388 temperature in this analysis are stronger than predicted, the uncertainties are much
389 larger due to the limited size of this subset (Table S4).

390 Regressions based on the GlobResp and LCE datasets separately are generally
391 consistent with our theoretical predictions (Table S5). The LCE dataset shows a
392 stronger acclimation in $R_{d,\text{tg}}$ and a weaker acclimation in $R_{d,25}$ than V_{cmax} ($\beta_{\text{aR}} > \beta_{\text{aV}}$,
393 $\beta_{\text{aR}25} < \beta_{\text{aV}25}$), but the empirically estimated sensitivities are nevertheless close to our
394 theoretical predictions.

395 The prediction that b should decline with temperature by 1.8% K^{-1} was consistent with
396 the fitted regressions of the ratio of $R_{d,\text{tg}}$ to $V_{\text{cmax,tg}}$; we observed a small but significant
397 negative response of b to growth temperature with a sensitivity of $2.0\% \pm 0.3\%$, while
398 b_{25} was indeed independent of mGDD_0 (Table 1, Table S3, Fig. 2). The fitted
399 temperature response of $R_{d,\text{tg}}$ was consistently about 2% less steep than that of $V_{\text{cmax,tg}}$
400 (Table 1). However, the observed temperature-dependence of this ratio is weak and

401 becomes nonsignificant in analysis of site-mean data or the LCE dataset alone (Table
402 S1, S3, S5).

403 *Testing the theoretical assumptions: relationships of dark respiration and*
404 *photosynthetic capacity to other variables*

405 We examined the relationships between R_d , V_{cmax} and other potential influences, in
406 order to further test our assumption that among those variables that measured R_d is most
407 strongly correlated to variations in V_{cmax} . We found that measured R_d and V_{cmax} were
408 positively correlated in the datasets when normalized either to $mGDD_0$ ($R^2 = 0.25$) or
409 to a reference temperature of $25^\circ C$ ($R^2 = 0.16$) (Table S6). The canonical value of $b_{25} =$
410 0.015 in the photosynthesis model of [Collatz et al. \(1991\)](#), was similar to the fitted value
411 of $b_{25} = 0.014 \pm 0.001$ based on the regression of $R_{d,25}$ with respect to $V_{cmax,25}$ (Table
412 S6).

413 Considered on their own, neither LMA nor soil pH provided explanatory power in the
414 variations of leaf R_d and V_{cmax} (whether at $25^\circ C$ and growth temperature). The inclusion
415 of one or the other in addition to $mGDD_0$ as a predictor provided negligible increases
416 in explained variance (Table S7).

417 Relationships of leaf R_d and V_{cmax} to N_{area} were similar in strength when normalized to
418 $25^\circ C$ ($R^2 = 0.14$ and 0.12) (Table 2), but notably weaker when considered at growth
419 temperature ($R^2 = 0.05$ for $R_{d,tg}$ and 0.02 for $V_{cmax,tg}$). LMA and $V_{cmax,25}$ together
420 accounted for 42% variation in N_{area} , but most of this explanatory power comes from
421 LMA (Table 2). LMA and $R_{d,25}$ together explained 41% variation in N_{area} , but again
422 most of this explanatory power is due to LMA (Table 2).

		Coefficient			Intercept	R^2	df
$V_{cmax,tg}$	$V_{cmax,25}$	$R_{d,tg}$	$R_{d,25}$	LMA			
0.083±0.019					0.409±0.059	0.02	935
0.058±0.015				0.491±0.021	-1.849±0.107	0.39	934
	0.242±0.022				-0.199±0.081	0.12	935
	0.148±0.018			0.458±0.021	-2.055±0.106	0.42	934
		0.177±0.022			0.677±0.015	0.05	1165
		0.107±0.018		0.508±0.020	-1.724±0.097	0.38	1164
			0.301±0.022		0.590±0.013	0.14	1165
			0.174±0.019	0.471±0.020	-1.606±0.097	0.41	1164

423

424 **Table 2: Summary statistics for Ordinary Least Squares regressions of leaf nitrogen**
425 **content against leaf mass per area (LMA) and/or R_d or V_{cmax} .** R_d and V_{cmax} are assessed at
426 growth temperature ($R_{d,\text{tg}}$ and $V_{\text{cmax,tg}}$), or 25°C ($R_{d,25}$ and $V_{\text{cmax},25}$). The fitted slopes are shown
427 together with the intercept (mean \pm standard error), the adjusted coefficient of determination
428 (R^2) and the degrees of freedom (df). All variables were natural-log transformed.

429 **Discussion**

430 *Comparison with other studies*

431 [Heskel et al. \(2016\)](#) provided an empirical function for leaf R_d at 25°C (equation (4) in
432 Methods), where the parameter a (the logarithm of the basal rate of R_d at 0°C) is -1.60
433 for tundra, declining to -2.75 for lowland tropical rainforest. We estimated a by
434 rearranging equation (3) in Heskel et al. (2016) at a reference temperature of 25°C, and
435 assuming proportionality between $R_{d,25}$ and $V_{\text{cmax},25}$, yielding independent estimates: a
436 = -1.41 for tundra, and -2.50 for lowland tropical rainforest. The values of a given by
437 [Heskel et al. \(2016\)](#) allow us to approximate the thermal sensitivity of a as $-4.6\% \text{ K}^{-1}$,
438 assuming a growth temperature range of 25°C from tundra to rainforest: close to our
439 prediction, $\beta_{\text{qR}} = -4.4\% \text{ K}^{-1}$.

440 Our results are consistent with previous findings showing that while $V_{\text{cmax,tg}}$ increases
441 with growth temperature, $V_{\text{cmax},25}$, the amount of Rubisco, and the fraction of leaf N
442 allocated to Rubisco all decline ([Scafaro et al., 2017](#)). We also found a small but
443 significant negative response of $R_{d,\text{tg}}/V_{\text{cmax,tg}}$ to growth temperature ($2.0\% \pm 0.3\% \text{ K}^{-1}$)
444 (Fig. 2), while $R_{d,25}/V_{\text{cmax},25}$ was not significantly related to growth temperature (Fig. 2).

445 The canonical ratio $R_{d,25}/V_{\text{cmax},25} = 0.015$ ([Collatz et al., 1991](#)) perhaps co-incidentally
446 lies within the 95% confidence intervals of the fitted slope (0.014 ± 0.001) obtained by
447 regression of $R_{d,25}$ on $V_{\text{cmax},25}$ (Table S6). Our theory predicts a temperature dependence
448 of the ratio of $R_{d,\text{gt}}$ to $V_{\text{cmax,gt}}$ due to their different instantaneous thermal responses, and
449 this is observed, but the relationship to temperature is much less robust than that of R_d
450 and V_{cmax} themselves.

451 *Implications of photosynthetic and respiratory acclimation*

452 We predicted that field-measured $V_{\text{cmax,tg}}$ and $R_{d,\text{tg}}$ should increase with growth
453 temperature by 5.5% and 3.7% per degree, respectively (Table 1, Fig. 2). These

454 responses are not instantaneous biochemical responses. They arise, instead, because of
455 the differential temperature sensitivities of two quantities – the effective Michaelis-
456 Menten coefficient of Rubisco (K) and the photorespiratory CO_2 compensation point
457 (I^*) (see Methods). These predicted thermal sensitivities (β_{aV} , β_{aR}) are within the 95%
458 confidence intervals of regression coefficients independently derived from data (Table
459 1, Fig. 2).

460 Many ecosystem and land-surface models disregard acclimation, and assume that the
461 long-term R_d and V_{cmax} responses to temperature follow the instantaneous functions
462 routinely observed ($\beta_{iV} = 9.9\% \text{ K}^{-1}$ ([Kattge & Knorr, 2007](#)) and $\beta_{iR} = 8.1\% \text{ K}^{-1}$ ([Heskel
463 et al., 2016](#)). Our results contradict this assumption, and provide a quantification of the
464 temperature responses of both V_{cmax} and R_d that explicitly takes acclimation into
465 account. Given that V_{cmax} has been found to vary seasonally, and can be predicted using
466 the temperature of previous week ([Smith & Dukes, 2018](#)), a weekly to monthly
467 acclimation time scale would be appropriate for LSMs to incorporate this process. It
468 has also been shown that high growth temperature has a stronger negative impact on
469 the instantaneous thermal response of V_{cmax} than on dark respiration ([Smith & Dukes,
470 2017b](#)). However, such effects occur above 30°C , whereas the maximum growth
471 temperature of the sampled sites we used here is only $\sim 28^\circ\text{C}$. More measures at hot
472 sites would be helpful for future studies to understand acclimation behaviour over a
473 large range of temperatures.

474 Theory also predicts that the amount of active Rubisco should decline with temperature
475 ($\beta_{qV} = -4.4\% \text{ K}^{-1}$), because the instantaneous response of V_{cmax} to temperature is steeper
476 than its acclimated response. At higher temperatures, less active Rubisco is required to
477 achieve the value of V_{cmax} indicated by the co-ordination hypothesis (Fig. 1). Lower
478 levels of Rubisco require lower levels of maintenance respiration for Rubisco turnover.
479 Both predictions are quantitatively consistent with observed negative responses of $R_{d,25}$
480 and $V_{\text{cmax},25}$ to temperature (Table 1, Fig. 2), although the goodness of fit to the data at
481 25°C is weaker than that at growth temperature.

482 The growth temperature-dependent trend in both V_{cmax} and leaf R_d emerges clearly from
483 the data despite considerable scatter around the regression (Fig. 2). Much of this scatter
484 may be linked to within-site microclimatic variation (especially in PPFD) that is not
485 accounted for in analyses of this kind. Consistent with this hypothesis, growth

486 temperature explains a larger fraction of the variation in community-mean values of
487 V_{cmax} and R_{d} (Table S1) than in individual species values. The diversity among species
488 in other relevant traits and leaf life history may also explain some within-site variation
489 in V_{cmax} and R_{d} . For example, it has been shown that diverse hydraulic strategies can
490 influence plant photosynthetic capacity under the same abiotic conditions ([Zhu et al.,](#)
491 [2018](#)), while age-dependent leaf physiology can significantly influence V_{cmax} and
492 consequently the total canopy carbon uptake in tropical evergreen forests ([Albert et al.,](#)
493 [2018](#)). Optimality-based theory on respiration acclimation as presented here could (a)
494 be applied globally at a community-mean level, and (b) potentially be refined by
495 explicitly considering variations within the canopy in microclimate and differences
496 among plant strategies and leaf life histories.

497 Equation (3) could potentially allow predictions of the responses of leaf R_{d} to other
498 environmental determinants, including vapour pressure deficit, elevation and CO_2 . Our
499 theory predicts a downregulation in V_{cmax} and thus in R_{d} (both at 25°C and T_{g}) as a
500 response to increased atmospheric CO_2 . Consequently, enhanced thermal acclimation
501 in V_{cmax} and R_{d} ($\beta_{\text{aV}} = 2.7\% \text{ K}^{-1}$ and $\beta_{\text{aR}} = 4.5\% \text{ K}^{-1}$, $\beta_{\text{qV}} = \beta_{\text{qR}} = -5.4\% \text{ K}^{-1}$) are expected
502 at high CO_2 . The data currently available do not allow us to test these predictions, for
503 various reasons including (a) the limited environmental range covered by data, (b)
504 correlations between potential explanatory variables and (c) uncertainties in the
505 measurement methods used in manipulative experiments ([Ainsworth & Long, 2005](#)).
506 Nevertheless, our theory provides testable predictions on the acclimation of R_{d} to
507 various environmental factors and also a simple, first-principles approach to directly
508 predict the thermal acclimation of R_{d} , which is one of the most important mechanisms
509 missing from current LSMs ([Huntingford et al., 2017](#)).

510 *On the correlation between R_{d} and N_{area}*

511 Empirical relationships of both $V_{\text{cmax},25}$ and $R_{\text{d},25}$ to area-based leaf nitrogen content
512 (N_{area}) have been interpreted as showing ‘nitrogen limitation’ at the leaf level ([Luo et](#)
513 [al., 2004](#)) and form the basis of R_{d} prediction in some N-cycle enabled LSMs. However,
514 recent studies have shown two problems with this interpretation. First, $V_{\text{cmax},25}$ accounts
515 for only the metabolic component of N_{area} , whereas a large component of variation in
516 N_{area} is proportional to LMA ([Dong, Prentice, Harrison, et al., 2017](#); [Onoda et al., 2017](#)).
517 Here, we confirm that substantially more variation in N_{area} can be explained by LMA

518 than by V_{cmax} or R_d . This finding suggests that N_{area} is not the main determinant of either
519 V_{cmax} or R_d (Table 2). Second, global patterns of variation in V_{cmax} have been shown to
520 be predictable from climate alone ([Smith, Keenan, Prentice, Wang, Wright, Niinemets,](#)
521 [Crous, Domingues, Guerrieri, Ishida, et al., 2019](#)), suggesting that $V_{\text{cmax},25}$ (and
522 therefore $R_{d,25}$) is not determined by N_{area} , but rather primarily by photosynthetic
523 demand – which is set by the local climatic environment. This demand in turn
524 determines the metabolic component of N_{area} . Differences in soil N availability then
525 primarily influence plant-level carbon allocation, instead of leaf-level N: the less soil
526 N supply, the more carbon allocated belowground for N acquisition ([LeBauer &](#)
527 [Treseder, 2008](#); [Poorter et al., 2012](#)).

528 Our analysis therefore suggests an alternative to the common approach to carbon-
529 nitrogen cycle coupling in in LSMs, whereby leaf nitrogen is prescribed by plant
530 functional types and used to predict V_{cmax} and leaf R_d at standard temperature, and
531 enzyme kinetics determines their temperature response at both fast (half-hourly) and
532 slower (weekly and longer) time scales. In our proposed approach, leaf nitrogen is
533 determined jointly by LMA (which may differ among plant functional types) and V_{cmax} ;
534 V_{cmax} and R_d at standard temperature would then be considered independent of plant
535 functional type, but allowed to acclimate gradually to environmental conditions
536 following a simple optimality principle; and nitrogen availability would influence
537 primarily the allocation of carbon to leaves.

538 **Conclusion**

539 The theory developed here provides a first-principles approach to predicting the thermal
540 acclimation of leaf R_d , a key process missing from current LSMs. According to both
541 theory and data, the observed thermal acclimation of R_d follows the optimization of
542 V_{cmax} as predicted by the coordination hypothesis. This acclimation dampens the
543 instantaneous response of R_d to temperature and shows little influence from other
544 factors. The discrepancy between thermal acclimation and instantaneous thermal
545 response implies that both R_d or V_{cmax} , converted to 25°C or any other arbitrarily chosen
546 reference temperature, must decline with plant growth temperature. These principles
547 would be straightforward to incorporate in an LSM framework. The theory provides an
548 explanation for observed correlations among N_{area} , V_{cmax} and R_d that differs from the

549 common assumption that N_{area} determines V_{cmax} and R_d , and supports an alternative
550 perspective on the coupling between terrestrial carbon and nitrogen cycles.

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